



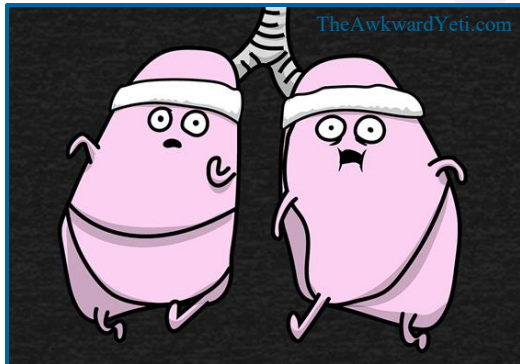
ALBANY MED Health System



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Considerations for Supporting Quality Sleep and Effective Airway Clearance in Children and Young Adults with Intellectual/Developmental Disabilities



Kate Elizabeth Powers, D.O. {she/her}

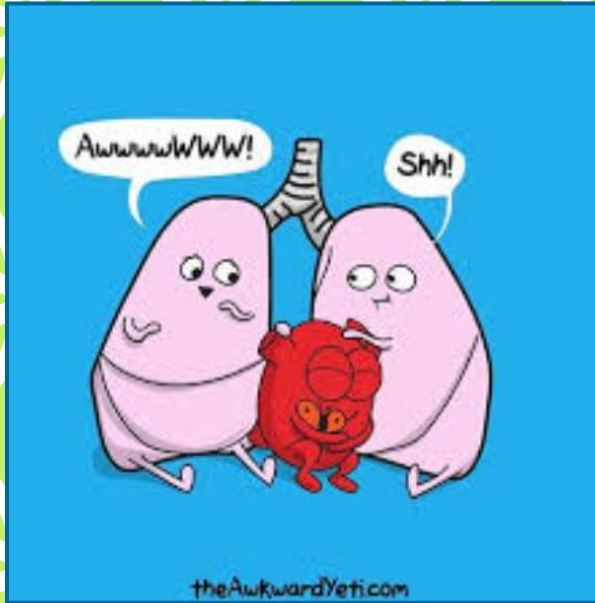
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Overview

- **Review sleep-disordered breathing**
 - Central sleep apnea
 - Obstructive sleep apnea
 - Hypoventilation
- Discuss the impact of **limited mobility** and **decreased tone** upon breathing with sleep
- Examine ways to **improve sleep**:
 - Optimize airway clearance
 - Address sleep hygiene and more





Brief Introduction to Sleep

Phases of Sleep

Diagnostic Sleep Study

Sleep Quality and Efficiency

Why Do We Care About Sleep?

- We spend about **1/3rd** of our **lives asleep**
 - Sleep supports growth and development in children
- Lack of sleep affects our **memory** and **ability to think clearly**
- Sleep deprivation:
 - **Neurological dysfunction** such as mood swings or hallucinations
 - Higher risk of developing **obesity, diabetes mellitus, CVD**
- Sleep difficulties → adverse effects on well-being, functioning, and quality of life
- Lack of or altered sleep disrupts family life, well-being, and the ability to care for children or oneself

Phases of Sleep

- Rapid Eye Movement (**REM; 25%**) and **non-REM (75%)**
- Non-REM is divided into 3 stages progressing into deeper sleep:
 - **Stage 1 (N1; 5%):** Drowsiness, light sleep ,transition into sleep
 - **Stage 2 (N2; 45%):** Light sleep, body movements/startles
 - **Stage 3 (N3, Slow wave sleep: 25%):** Deep sleep, difficult to wake, muscles relax and breathing slows
- **REM:** Rapid eye movements, increased brain activity, vivid dreams

Sleep Cycles

- Cycle through all stages ~4-6 times/night averaging 90 min/cycle
 - Sleep quality and time spent in each sleep stage can be altered by medications, aging, and underlying disorders
 - **SWS (N3)** is associated with loss of respiratory stimulation from the frontal cortex → relative **loss of respiratory drive**
 - **REM sleep** is associated with **loss of skeletal muscle tone** → loss of accessory muscles of respiration (diaphragmatic function is preserved)
- Most children with progressive respiratory impairment develop episodes of **nocturnal hypoventilation** years before having daytime issues

Changes over Time and Gender Differences

- **Newborns:** Short sleep cycles with less consolidated sleep, significant time in both non-REM and REM
- **Infancy/Preschool:** Sleep cycles lengthen and deep sleep (N3) increases
- **School-Aged/Adolescents:** Sleep architecture matures, less deep sleep (N3) and more REM
- **Men:** Longer N1 and more nighttime awakenings
- **Women:** Longer N3 and more difficulties falling asleep

Diagnostic Sleep Study

- Utilizes an electroencephalogram (EEG), electro-oculogram (EOG), electromyogram (EMG), electrocardiogram (ECG), pulse oximetry, airflow, and respiratory effort
 - Sensors to measure brain activity, HR, nasal/oral air movement, SpO2, limb and eye movements, presence of snoring
 - Assesses sleep quality, sleep efficiency, and breathing
- Requires a minimum of 5-6 hours of sleep
- Measures **Apnea/Hypopnea Index (AHI)**
- **Gold standard test for diagnosing sleep-related breathing disorders:** obstructive sleep apnea, central sleep apnea, and sleep-related hypoventilation or hypoxemia
 - Can also evaluate nocturnal seizures, periodic limb movement disorder, narcolepsy, and REM-sleep behavior disorder

Sleep Quality versus Sleep Efficiency

- **Sleep quality:** subjective experience
 - How quickly you fall asleep
 - How long you stay asleep
 - How refreshed you feel upon waking
- **Sleep efficiency:** measurable percentage of time spent asleep while in bed
 - Total sleep time/Total time spent in bed x 100%
 - Normal $\geq 85\%$



Sleep-Disordered Breathing

Central sleep apnea

Obstructive sleep apnea

Hypoventilation

Central Sleep Apnea (CSA)

- Pauses in breathing during sleep due to **improper signaling from brainstem → muscles** that control breathing
 - Less common than obstructive sleep apnea
 - More common in men and people >60 years of age
- **Neurological impairment**
- Certain conditions can contribute to CSA:
 - Medications (opioids), high altitude, kidney and heart disease, stroke, brain tumors, idiopathic

Obstructive Sleep Apnea (OSA)

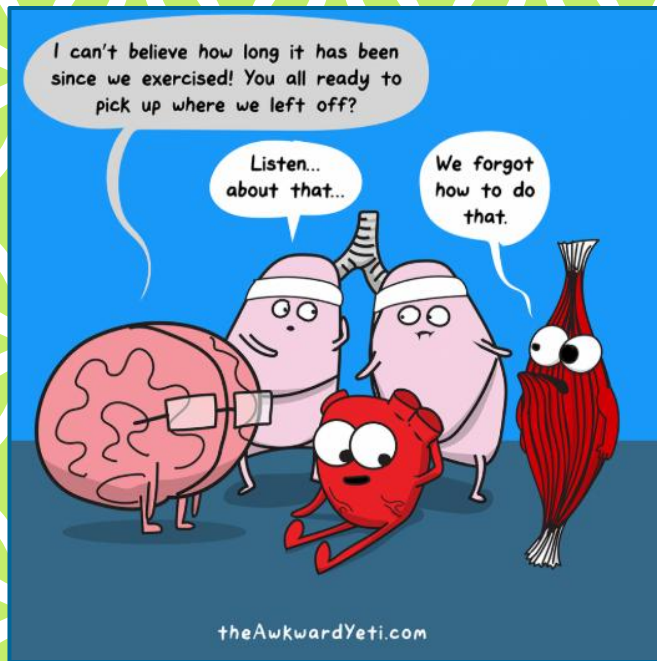
- Pauses in breathing during sleep due to **partial or complete blockage in the upper airway**
 - Incidence peaks in childhood between 2-8 years of age
 - Males are increased risk post-puberty, similar between males and females pre-puberty
- Children often present differently than adults → snoring, mouth breathing, choking/gasping, restless, sleep, witnessed apneas, frequent nighttime awakenings, secondary nocturnal enuresis
- Disrupted sleep → hyperactivity, daytime sleepiness, irritability, napping, morning headaches, difficulty concentrating

Hypopnea and Hypoventilation

- **Shallow breathing or reduced airflow** during sleep with hypoxemia or arousal from sleep
- Children have higher airway integrity have less risk of complete collapse like adults → Children have **more hypopnea than apnea, which is still associated with diagnosis of OSA**
- **Hypercarbia** can be present in the pediatric population (often absent in adults) → state of **chronic hypoventilation**
- **Hypoventilation:** decrease in rate and/or depth of breathing → hypoxemia and/or hypercarbia

Apnea/Hypopnea Etiologies

- **Enlarged tonsils and/or adenoids/Macroglossia**
- **Craniofacial anatomic anomalies** that narrow airways including achondroplasia, Pierre-Robin sequence, Apert syndrome
- **Prematurity**
- **Neuromuscular disorders:** Trisomy 21, cerebral palsy and other neurological conditions that have decreased oropharyngeal muscle tone
- **Obesity** leads to increased tissue volume around airways → more susceptible to narrowing/collapse
- **Medications** → sedatives/opioids can relax airway muscles
- **Nasal congestion** due to allergies, polyps can restrict airflow



Limited Mobility and Decreased Tone

Discuss the impact upon breathing with sleep

Limited Mobility and Decreased Tone

- **Physical movement** helps mobilize pulmonary secretions
- Central muscle tone impacts the trunk, the neck, and sometimes extremity movement
- **REM sleep** is associated with **loss of skeletal muscle tone**
- **Baseline central hypotonia** can impact sleep, more often REM
 - Restless sleep and frequent awakenings
 - Decreased restful/deep sleep → daytime symptoms
- **Central hypotonia can also contribute to poor clearance of respiratory secretions**

Airway Clearance Mechanisms

- Airway clearance of the lungs involves 2 mechanisms:
 - **Mucociliary clearance escalator** removes debris from peripheral airways
 - An **effective cough** clears the more proximal airways
- Effective **mucociliary clearance** requires normal respiratory dynamic movement:
 - Narrowing of the intrathoracic airways on exhalation
 - Increasing expiratory airflow and movement of mucous towards the mouth

Effective Cough and Retained Secretions

- An **effective cough** includes 3 muscular phases: the use of inspiratory, upper airway/bulbar, and expiratory muscles
 - Impairment of any phase can impact the clearance of secretions from proximal airways
- **Impaired tone** → inability to generate normal tidal volumes leading to smaller breaths and negatively impacts the mucociliary clearance escalator
- **Poor mucociliary clearance** → Retained pulmonary secretions → chronic atelectasis and mucus trapping from decreased expiratory flow

Chronic Aspiration Makes It Worse...

- Bulbar dysfunction results in **impaired recognition of secretions, swallowing dysfunction**, and increased subsequent aspiration
- **Chronic aspiration** further contributes to recurrent respiratory infections, respiratory epithelial and ciliary damage
- **Ciliary damage** further decreases mucociliary clearance → more respiratory infections and further ciliary damage
- In people with low neuromuscular tone can have **hypoventilation** and mucus plugging → **hypoxemia** and **hypercapnia** especially during sleep

Ineffective Airway Clearance

- Retained secretions can be corrosive to lung tissue → recurrent tracheitis/bronchitis, pneumonia, and chronic airway inflammation
 - In some cases, retained secretions and recurrent infection → permanent lung damage (bronchiectasis)
 - Effective clearance of airway mucus would decrease the risk of recurrent respiratory infections and delay/prevent permanent lung tissue damage
 - Additionally, frequent antibiotic use to treat infections → resistance and limitations in future treatment options
- **Ineffective airway clearance may also impact sleep**



Improve Sleep

Optimize airway
clearance

Address sleep hygiene
and more

What About Airway Clearance?

- Focuses on medications and interventions to help clear mucus and secretions from lungs contributing to:
 - Improved baseline breathing
 - Reduced risk of infection
 - Optimized pulmonary reserve → potentially improved sleep
- **Medications:** open airways and thin secretions
- **Interventions:** mobilize secretions through vibration and/or augment a cough

Respiratory-Related Medications

- **Bronchodilators** maximally open airways to enhance airway clearance
- **Hypertonic saline** is a hyperosmolar agent that can alter the physical properties of mucus and the airway fluid surface level
- Inhaled **anticholinergic drugs** (ipratropium) can block increased mucus secretion that can occur with increased inflammation
 - Especially important for children with thicker secretions → may benefit from switching bronchodilators to inhaled anticholinergics

Chest Physiotherapy (CPT)

- CPT provides assistance in loosening retained mucus in the lungs → moving secretions from small to larger airways
- Chest PT modalities can include:
 - Manual percussion
 - Intrapulmonary percussive ventilation (IPV)
 - High-frequency chest wall oscillation via vest therapy (HFCWO)
 - Oscillatory positive expiratory pressure therapy (OPEP)



Intrapulmonary percussive ventilation (IPV)



- Combines a high frequency ventilator with a jet nebulizer to deliver intermittent positive-pressure mini-bursts of gas with high frequency to promote clearance of distal bronchial tree
- IPV expands the lungs, vibrates, and enlarges airways facilitating greater gas delivery therefore reducing persistent atelectasis
 - To increase the percussive effect of IPV, a higher frequency and pressure with shorter inspiratory times is encouraged
- IPV can also improve the efficacy of nebulized therapy

High-frequency chest wall oscillations (HFCWO/Vest)

- Vest therapy is supplied by rapid inflation/deflation producing chest compressions at variable frequencies and pressures
 - Frequencies >3 Hz cause cough-like forces on mucus to move secretions towards the mouth
 - Frequencies around 10 Hz reduces mucus viscosity
- HFCWO is a mucus-mobilization technique that needs to be in tandem with cough or other mucus extraction techniques to clear the airways
- HFCWO may be better tolerated if IPV combined with Cough Assist causes hypoxemia from presumed alveolar de-recruitment



Oscillation positive expiratory pressure (OPEP)

- OPEP devices help clear excess mucus in airways and improve breathing by creating positive airway pressure when the patient exhales → vibrations in the airways to thin and loosen mucus allowing mucus to more easily move up the mucociliary clearance escalator and be coughed out
- OPEP improves airway clearance especially in patients with bronchiectasis and can reduce acute exacerbations when utilized preventatively

Acapella



Cough Assist Device

- Mechanical insufflation-exsufflation also known as In-Exsufflator, Coughalator, or CoughAssist device clears airway secretions from the lungs by applying cycles of positive and negative pressure to the airway generating a high expiratory flow
- Simulates a cough → brings secretions closer to the mouth where they can be safely removed by suctioning or swallowing
- Safer, non-invasive, more effective alternative to deep invasive suctioning, which can lead to airway bleeding and obstructive granulomas

CPT versus Cough Assist



- CPT is an effective method of loosening and moving secretions to larger airways
- Cough Assist moves secretions further from the lower airway into the upper airway to be coughed, suctioned, or swallowed
- In those with low central tone, CPT should be followed immediately with Cough Assist
 - CPT and Cough Assist are NOT substitutes for each other and NOT exchangeable
 - For patients with weak cough, the two devices should be used in concert to achieve optimal airway clearance

Sleep Hygiene

- **Regular sleep schedule:** go to bed and wake up around the same time daily, even on weekends
- **Relaxing bedtime routine:** engage in calming activities – read a book, take a bath, avoid screens
- **Sleep environment:** ensure bedroom is dark, quiet, and cool



- **Manage stress/anxiety:** employ relaxation techniques like deep breathing or meditation
- **Limit daytime naps and stimulants** (caffeine/alcohol)

Medications to Consider for Snoring

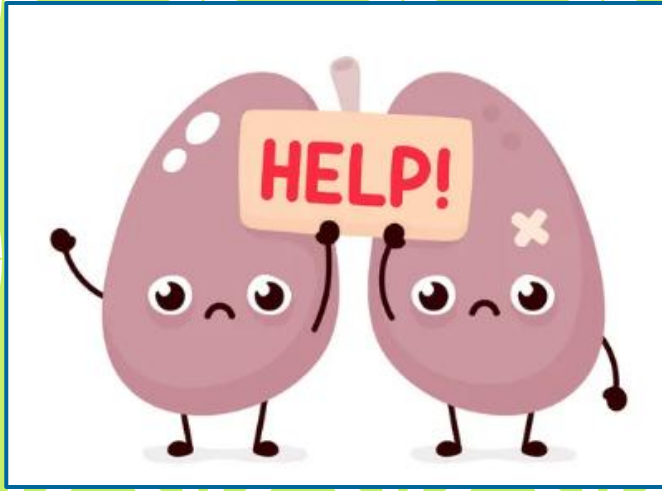
- **Leukotriene inhibitors (montelukast)** may be appropriate for pediatric patients who are diagnosed with mild-moderate OSA
 - Montelukast has been shown to decrease adenotonsillar size significantly after 3 months of treatment → AHI decrease
- Systemic glucocorticoids are NOT effective in treatment of pediatric OSA
- **Intranasal corticosteroid (fluticasone)** for 6 weeks improves AHI; treatment option for patients with mild-moderate OSA
- When intranasal steroids are combined with leukotriene inhibitors, most patients → clinically-significant decrease in AHI

What if We Do Nothing for Sleep Apnea...

- Complications of **sustained hypoxemia** → increase pulmonary vasoconstriction → **pulmonary hypertension** and **right heart failure** at an early age
- **Cognitive dysfunction, impaired learning, and poor school performance** are associated with undiagnosed and untreated pediatric OSA
- Labored breathing → **failure to thrive** seen in children
- If there is **adenotonsillar hypertrophy** in children, the most effective treatment is **adenotonsillectomy**

Final Thoughts from this Pediatric Pulmonologist

- Sleep is essential → address sleep hygiene first
- Do you suspect OSA? Consider Soft tissue neck imaging, empiric medication trial
- Consider respiratory and non-respiratory etiologies
 - Do they have asthma or another underlying chronic lung disease? Are they well-controlled?
 - Do they have limited mobility/hypotonia: Is ineffective airway clearance the issue?
- Is it time to get a Diagnostic sleep study and/or refer to ENT or Pulmonology?



Questions for you...

You got this!

Question 1:

What 2 medications are considered the “medical management for snoring” in children and adolescents?

- A. Antileukotriene: Montelukast
- B. Bronchodilator: Albuterol
- C. Nebulized mucolytic: 3% Hypertonic saline
- D. Nasal corticosteroids: Fluticasone

Question 1 Answer:

What 2 medications are considered the “medical management for snoring” in children and adolescents?

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Question 2:

What are possible chest physiotherapy (CPT) options to use at home for people with ineffective airway clearance? (Select all that apply):

- A. Manual percussion
- B. Oscillatory positive expiratory pressure (OPEP)
- C. Cough Assist Device
- D. Intrapulmonary percussive ventilation (IPV)
- E. High frequency chest wall oscillations (HFCWO)/Vest therapy

Question 2 Answer:

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- D. Intrapulmonary percussive ventilation (IPV)**
- E. High frequency chest wall oscillations (HFCWO)/Vest therapy**

*Unless using oscillatory setting component with cough assist device as that IS considered CPT. The oscillatory setting is CPT.

Question 3:

What is the most common form of sleep-disordered breathing in children?

- A. Hypoventilation
- B. Obstructive sleep apnea
- C. Central sleep apnea
- D. Sleep fragmentation

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Thank you for your time and attention.
If you have questions, email me at:
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